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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 1.53(b))</i> |  | Attorney Docket No. 31529.0072         |  |
|   |  | First Inventor Markus Bernhard Vetter  |  |
|   |  | Title Retaining Ring for Sprockets     |  |
|   |  | Express Mail Label No. ER 525551997 US |  |

03940 U.S. PTO  
10/547836

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| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents</i> | Mail Stop Patent Application<br><b>ADDRESS TO:</b> Commissioner for Patents, P.O. Box 1450<br>Alexandria, Virginia 22313-1450 |
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|  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i><br>2. <input type="checkbox"/> Applicant claims small entity status.<br>See CFR 1.27.<br>3. <input checked="" type="checkbox"/> Specification [Total Pages / 12 / ]<br><i>(preferred arrangement set forth below)</i><br>- Descriptive title of the invention<br>- Cross Reference to Related Applications<br>- Statement Regarding Fed sponsored R&D<br>- Reference to sequence listing, a table, or<br>a computer program listing appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings <i>(if filed)</i><br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets / 6 / ]<br>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages / 2 / ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named<br>in the prior application, see 37 CFR 1.63(d)(2) and<br>1.33(b).<br>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program <i>(Appendix)</i><br>8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i><br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> Paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |
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|---|
| <b>ACCOMPANYING APPLICATION PARTS</b>   |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i><br>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i><br>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i><br>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br>or its equivalent<br>17. <input checked="" type="checkbox"/> Other: 2 checks: \$40 and \$870 |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of the prior application No: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

|   |                          |   |                |  |                 |
|---|--------------------------|---|----------------|--|-----------------|
| <b>19. CORRESPONDENCE ADDRESS</b>               |                          |   |                |  |                 |
| <input type="checkbox"/> Customer Number: 26712 |                          | <b>OR</b> <input type="checkbox"/> Correspondence address below |                |  |                 |
| NAME  |                          | David L. Principe   |                |  |                 |
|   |                          | Hodgson Russ LLP  |                |  |                 |
| ADDRESS   |                          | One M&T Plaza, Suite 2000                                       |                |  |                 |
| CITY  | Buffalo                  | STATE   | New York       | ZIP CODE                                 | 14203-2391      |
| COUNTRY   | United States of America | TELEPHONE   | (716) 856-4000 | FAX                                      | (716) 849-0349  |
| Name (Print/Type)                               |                          | David L. Principe   |                | Registration No. (Attorney/Agent) 39,336 |                 |
| Signature                                       |                          |   |                | Date                                     | August 25, 2003 |

"Express Mail" Mailing Label Number ER 525551997 US

Date of Deposit August 25, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

David L. Principe  
Name

*David L. Principe*  
Signature

846169

08/25/03

**FEE TRANSMITTAL****for FY 2003**

Effective 01/01/2003. Patent Fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

|                   |         |                        |                        |
|-------------------|---------|------------------------|------------------------|
| AMOUNT OF PAYMENT | (\$910) | Application Number     |                        |
|                   |         | Filing Date            | August 25, 2003        |
|                   |         | First Named Inventor   | Markus Bernhard Vetter |
|                   |         | Examiner Name          |                        |
|                   |         | Group/Art Unit         |                        |
|                   |         | Attorney Docket Number | 31529.0072             |

|  |                             |
|--|-----------------------------|
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) |
|--|-----------------------------|

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account: Deposit Account Number: 08-2442
Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below☒ Charge any fee deficiencies or credit any overpayments☐ Charge any additional fees during pendency of this application.☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account**3. ADDITIONAL FEES**

| Large Entity                             |   | Small Entity                      |          | Fee Description  | Fee Paid |
|--|---|-----------------------------------|----------|--|----------|
| Fee Code                                 | Fee (\$)  | Fee Code                          | Fee (\$) |  |          |
| 1051                                     | 130   | 2051                              | 65       | Surcharge - late filing fee or oath  | \$       |
| 1052                                     | 50  | 2052                              | 25       | Surcharge - late provisional filing fee or cover sheet                     | \$       |
| 1053                                     | 130   | 1053                              | 130      | Non-English specification  | \$       |
| 1812                                     | 2,520   | 1812                              | 2,520    | For filing a request for <i>ex parte</i> reexamination                     | \$       |
| 1804                                     | 920*  | 1804                              | 920*     | Requesting Publication of SIR prior to Examiner Action                     | \$       |
| 1805                                     | 1,840*  | 1805                              | 1,840*   | Requesting Publication of SIR after Examiner Action                        | \$       |
| 1251                                     | 110   | 2251                              | 55       | Extension for reply within first month                                     | \$       |
| 1252                                     | 410   | 2252                              | 205      | Extension for reply within second month                                    | \$       |
| 1253                                     | 930   | 2253                              | 465      | Extension for reply within third month                                     | \$       |
| 1254                                     | 1,450   | 2254                              | 725      | Extension for reply within fourth month                                    | \$       |
| 1255                                     | 1,970   | 2255                              | 985      | Extension for reply within fifth month                                     | \$       |
| SUBTOTAL (1)                             |   | 1401                              | 320      | Notice of Appeal   | \$       |
| 2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE |   | 1402                              | 320      | Filing a brief in support of an appeal                                     | \$       |
| Extra Fee from                           |   | 1403                              | 280      | Request for oral hearing   | \$       |
| Claims below                             |   | 1451                              | 1,510    | Petition to institute a public use proceeding                              | \$       |
| Total Claims                             | / 22 / - 20** = / 2 / x / 18 / =                                    | 1452                              | 110      | Petition to revive - unavoidable   | \$       |
| Independent Claims                       | / 4 / - 3** = / 1 / x / 84 / =                                      | 1453                              | 1,300    | Petition to revive - unintentional   | \$       |
| Multiple dependent                       | / / x / / =   | 1501                              | 1,300    | 10 advance copies  | \$       |
|  |   |                                   |          | Utility issue fee (or reissue)   | \$       |
| Large Entity Small Entity                |   | 1502                              | 470      | Design issue fee   | \$       |
| Fee Fee                                  | Fee Fee   | 1503                              | 630      | Plant issue fee  | \$       |
| Code (\$)                                | Code (\$)   | 1460                              | 130      | Petitions to the Commissioner  | \$       |
| 1202                                     | 18 2202 9 Claims in excess of 20                                    | 1460                              | 130      | Petitions to the Commissioner  | \$       |
| 1201                                     | 84 2201 42 Independent claims in excess of 3                        | 1807                              | 50       | Processing fee under 37 CFR 1.17(q)  | \$       |
| 1203                                     | 280 2203 140 Multiple dependent claim if not paid                   | 1806                              | 180      | Submission of Information Disclosure Statement                             | \$ 40    |
| 1204                                     | 84 2204 42 **Reissue independent claims over original patent        | 8021                              | 40       | Recording each patent assignment per property (times number of properties) | \$       |
| 1205                                     | 18 2205 9 **Reissue claims in excess of 20 and over original patent | 1809                              | 750      | Filing a submission after final rejection(37 CFR 1.129(a))                 | \$       |
| SUBTOTAL (2)                             |   | 1810                              | 750      | For each add'l invention to be examined(37 CFR1.129(b))                    | \$       |
|  |   | 1801                              | 750      | Request For Continued Examination (RCE)                                    | \$       |
|  |   | 1802                              | 900      | Request for Expedited Examination of a design application                  | \$       |
|  |   | Other fee (specify)               |          |  | \$       |
|  |   | *Reduced by basic filing fee paid |          |  | \$       |
|  |   | SUBTOTAL (3)                      |          |  | \$ 40    |

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David L. Principe  
Name

Signature

August 25, 2003  
Date of Signature

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